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# KING & SPALDING, LLP

191 Peachtree Street  
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Telephone: 404/572-4600  
Facsimile: 404/572-5100  
[www.kslaw.com](http://www.kslaw.com)

## FAX TRANSMITTAL SHEET

September 13, 2005

TO: Examiner Nalven  
GAU 2134  
U.S. Serial No. 09/874,574

Company: U.S. Patent and Trademark Office

Fax #: 571-273-8300  
Mail Stop RCE

City/State: Alexandria, VA 22313

FROM: Steven P. Wigmore

5551

Our Ref. #:

05456.105035

NUMBER OF PAGES (including transmittal sheet): 40

JW

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### Notes/Comments:

#### Documents Submitted Via Facsimile:

Applicant: Robert David Graham

Serial No.: 09/874,574

Filed: June 4, 2001

For: A System and Method for Preventing Network Misuse

Papers Faxed:

JW Request for Continued Examination in duplicate (2-pgs.); Amendment Transmittal in duplicate (2-pgs.); One-Month Petition for Extension of Time in duplicate (2-pgs.); Amendment and Response Under 37 C.F.R. § 1.116 (33-pgs.).

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## Request for Continued Examination (RCE) Transmittal

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See the American Inventor Protection Act of 1999 (AIPA).

|                            |               |
|----------------------------|---------------|
| <i>Application No.</i>     | 09/874,574    |
| <i>Filing Date</i>         | June 4, 2001  |
| <i>Named Inventor(s)</i>   |               |
| Robert David Graham        |               |
| <i>Group/Art Unit</i>      | 2134          |
| <i>Examiner Name</i>       | Andrew Nalven |
| <i>Attorney Docket No.</i> | 05456.105035  |

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/19) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14863 (Mar. 20, 2000), 1222 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

### 1. Submission required under 37 C.F.R. § 1.114

- a.  Previously submitted
  - i.  Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on \_\_\_\_\_ (Any unentered amendment(s) referred to above will be entered).
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
  - iii.  Other \_\_\_\_\_.
- b.  Enclosed
  - i.  Amendment and Response Submitted Under 37 C.F.R. 1.116.
  - ii.  One-Month Petition for Extension of Time.
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other.

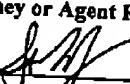
### 2. Miscellaneous

- a.  Suspension of action on the above-identified application is requested under 37 CFR § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; fee under 37 CFR § 1.17(i) required)
- b.  Other \_\_\_\_\_.

- ### 3. Fees (The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)
- a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 11-0980.
    - i.  RCE Fee required under 37 CFR § 1.17(e) [Fee: \$790.00]
    - ii.  One-Month Extension of time fee \$120.00 (37 CFR §§ 1.136 and 1.17)
    - iii.  Other \_\_\_\_\_.
  - b.  Check in the amount of \$\_\_\_\_\_ enclosed
  - c.  Payment by credit card (Form PTO-2038 enclosed)

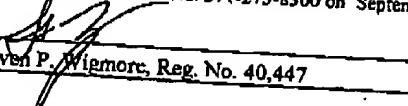
### Signature of Applicant, Attorney or Agent Required

Steven P. Wigmore, Esq.  
KING & SPALDING LLP  
45<sup>th</sup> Floor, 191 Peachtree Street, N.E.  
Atlanta, Georgia 30303

By:   
Reg. No. 40,447  
Date: September 13, 2005  
Telephone: 404.572.4600  
Facsimile: 404.572.5145  
Customer No. 20786

### Certificate of Mailing or Transmission

I hereby certify that this correspondence is being facsimile transmitted to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attn: GAU: 2134, Examiner Andrew Nalven, Facsimile No. 571-273-8300 on September 13, 2005.

  
Steven P. Wigmore, Reg. No. 40,447

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**DUPLICATE****Request for Continued Examination (RCE) Transmittal**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

|                            |                     |
|----------------------------|---------------------|
| <i>Application No.</i>     | 09/874,574          |
| <i>Filing Date</i>         | June 4, 2001        |
| <i>Named Inventor(s)</i>   | Robert David Graham |
| <i>Group/Art Unit</i>      | 2134                |
| <i>Examiner Name</i>       | Andrew Nalven       |
| <i>Attorney Docket No.</i> | 05456.10503         |

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicants may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14965 (mar. 20, 2000), 1222 Off. Gov. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

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- a.  Previously submitted
  - i.  Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on \_\_\_\_\_ (Any unentered amendment(s) referred to above will be entered).
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
  - iii.  Other \_\_\_\_\_.
- b.  Enclosed
  - i.  Amendment and Response Submitted Under 37 C.F.R. 1.116.
  - ii.  One-Month Petition for Extension of Time.
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other.

**2. Miscellaneous**

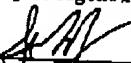
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  - iii.  Other \_\_\_\_\_.
- b.  Check in the amount of \$ \_\_\_\_\_ enclosed
- c.  Payment by credit card (Form PTO-2038 enclosed)

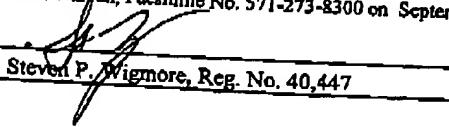
**Signature of Applicant, Attorney or Agent Required**

Steven P. Wigmore, Esq.  
 KING & SPALDING LLP  
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 Steven P. Wigmore, Reg. No. 40,447

**DUPLICATE**

## PATENTS

## IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of:

**Robert David Graham**

Docket No. 05456.105035

Serial No.: 09/874,574

Art Unit 2134

Filed: June 4, 2001

For: System and Method for Managing Security  
Events on a Network

Examiner: Andrew L. Naven

Confirmation No.: 5484

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 13, 2005

Sir:

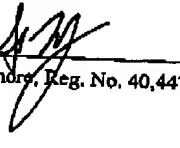
Transmitted herewith are papers in the above-identified application.

- Request for Continued Examination (RCE) fee \$790.00 charged to Deposit Acct No. 11-0980.  
 Amendment and Response under 37 C.F.R. § 1.116.  
 Petition for One-Month Extension of Time Fee \$120.00 charged to Deposit Acct No. 11-0980.  
 An additional fee is not required.  
 The additional fee is calculated as shown below:

|  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE | ADDT. FEE | RATE | ADDT. FEE |
|--|---|-------|-----------------------------------|------------------|------|-----------|------|-----------|
| TOTAL  | 52  | MINUS | 57=                               | 0                | x25  | \$0       | x50  | \$0       |
| INDEP.   | 6   | MINUS | 6=                                | 0                | x100 | \$0       | x200 | \$0       |
| FIRST PRESENTATION OF<br>MULTIPLE DEPENDENT CLAIMS |   |       |                                   |                  | +180 | \$        | +360 | \$0       |
| TOTAL<br>ADDITIONAL FEE                            |   |       |                                   |                  |      | \$0       | \$0  |           |

- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or to credit any overpayment to Deposit Account No. 11-0980. A duplicate of this sheet is enclosed.

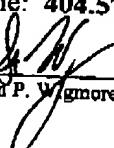
I hereby certify that this correspondence is being facsimile transmitted to Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Attn: Examiner A. Nalven, GAU 2134, Facsimile No. (571) 273-8300 on September 13, 2005.



Steven P. Wigmore, Reg. No. 40,447

KING & SPALDING LLP  
45<sup>th</sup> Floor  
191 Peachtree Street, N.E.  
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By:



Steven P. Wigmore, Reg. No. 40,447

**DUPLICATE**  
IN THE U.S. PATENT AND TRADEMARK OFFICE

PATENTS

In re application of:

Robert David Graham

Serial No.: 09/874,574

Docket No. 05456.105035

Filed: June 4, 2001

Art Unit 2134

For: System and Method for Managing Security  
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Examiner: Andrew L. Naven

Mail Stop RCE  
Commissioner for Patents  
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Alexandria, VA 22313-1450

Confirmation No.: 5484

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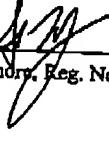
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- The additional fee is calculated as shown below:

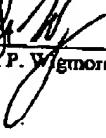
|  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE | ADDITIONAL<br>FEE | RATE       | ADDITIONAL<br>FEE |
|--|---|-------|-----------------------------------|------------------|------|-------------------|------------|-------------------|
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| INDEP.   | 6   | MINUS | 6=                                | 0                | x100 | \$0               | x200       | \$0               |
| FIRST PRESENTATION OF<br>MULTIPLE DEPENDENT CLAIMS |   |       |                                   |                  | +180 | \$                | +360       | \$0               |
| <b>TOTAL<br/>ADDITIONAL FEE      \$0</b>           |   |       |                                   |                  |      |                   | <b>\$0</b> |                   |

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By:   
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**DUPLICATE**

3709333 v1